

# CS 784: Computational Linguistics

## Lecture 10: Language Models

Freda Shi

School of Computer Science, University of Waterloo  
fhs@uwaterloo.ca

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## Recap: Distributional Semantics

*You shall know a word by the company it keeps.*

– *J. R. Firth, 1957*

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This is **language modeling**.

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- Define a statistical model  $P_{\Theta}(\mathbf{x})$  ( $\mathbf{x}$ : string).
- Estimate the parameters  $\Theta$  from data (by maximizing likelihood).

$$\Theta^* = \arg \max_{\Theta} \prod_{i=1}^N P_{\Theta}(\mathbf{x}_i) = \arg \max_{\Theta} \sum_{i=1}^N \log P_{\Theta}(\mathbf{x}_i)$$



# Language Modeling as a Foundational Task

Compared to classification, which is somewhat a task-specific problem, language modeling is a more general task to be integrated into many NLP tasks.

Assigning probabilities to token sequences helps

- Machine translation

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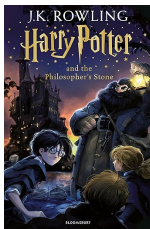
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- Grammatical error correction  
 $P(\textit{about fifteen minutes}) > P(\textit{about fifteen minuets})$

# Language Models: Data Matters

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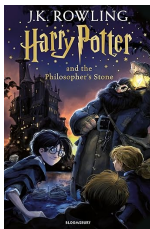


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Language models highly depend on their training data that define the population distribution.

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Goal: compute the probability of a sequence of tokens.

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From a high-level modeling perspective,

- **Autoregressive language models:** compute  $P(x_T | x_1, x_2, \dots, x_{T-1})$ .
- **Masked language models:** compute  $P(x_k | x_1, \dots, x_{k-1}, x_{k+1}, \dots)$  with some tokens masked.

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From a methodological perspective,

- **Count-based** language models: n-gram models.
- **Neural** language models: RNNs, LSTMs, Transformers.

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Applying it to sequences of tokens:

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$$= P(x_1)P(x_2 \mid x_1)P(x_3 \mid x_1, x_2) \dots P(x_T \mid x_1, x_2, \dots, x_{T-1})$$

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An **autoregressive language model** computes the conditional probability  $P(x_T \mid x_1, x_2, \dots, x_{T-1})$ .

Important detail: remember to model **sequence length** – a special token  $\langle \text{EOS} \rangle$  is necessary in probabilistic terms!

## Modeling Length: The End-of-Sequence Token

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$P(\langle \text{EOS} \rangle \mid \mathbf{x})$  denotes the **stop probability**.

Instead of calculating  $P(x_1, x_2, \dots, x_T)$ , we calculate  $P(x_1, x_2, \dots, x_T, \langle \text{EOS} \rangle)$  as the sequence probability.

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If there is no  $\langle \text{EOS} \rangle$  token

$$\begin{aligned} P(x_1, \dots, x_T) &= P(x_1, \dots, x_{T-1})P(x_T \mid x_1, \dots, x_{T-1}) \\ &\leq P(x_1, \dots, x_{T-1}) \end{aligned}$$

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$$(\text{length } T = 1) \quad \sum_{\mathbf{x}} P(\mathbf{x}_{1:T}) = \sum_{x_1 \in V} P(x_1) = 1$$

$V$ : vocabulary.



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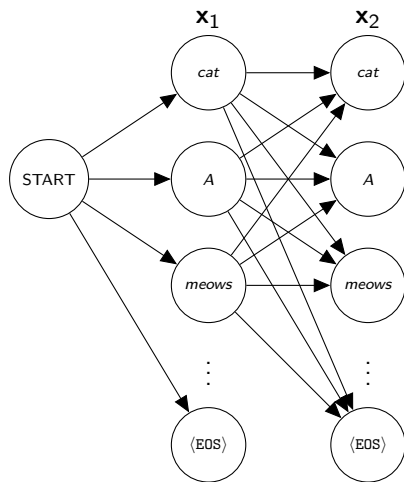
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Practice: complete the proof.

# Modeling Length: The End-of-Sequence Token



$$P(\text{meows}, \langle \text{EOS} \rangle) \\ = P(\text{meows}) P(\langle \text{EOS} \rangle \mid \text{meows})$$

Each edge represents a (conditional) probability term after factorization.

## N-Gram Language Models: The Markov Assumption

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The **Markov assumption**: the probability of a token only depends on the previous  $n - 1$  tokens ( $n \ll$  sequence length  $T$ ).



[Andrey Markov]



## N-Gram Language Models: The Markov Assumption

In other words, the **Markov assumption** assumes independence of a token from distant history, conditioning on its close history.

$$P(x_i \mid x_1, x_2, \dots, x_{i-1}) \approx P(x_i \mid \underbrace{x_{i-n+1}, x_{i-n+2}, \dots, x_{i-1}}_{\text{always } n-1 \text{ entries}})$$

We can estimate the conditional probability

$P(x_i \mid x_{i-n+1}, x_{i-n+2}, \dots, x_{i-1})$  by counting the occurrences of  $n$ -grams:

$$P(x_i \mid x_{i-n+1}, \dots, x_{i-1}) = \frac{\text{count}(x_{i-n+1}, \dots, x_{i-1}, x_i)}{\text{count}(x_{i-n+1}, \dots, x_{i-1})}$$

## Common N-Gram Language Models

- **Unigram language models** ( $n=1$ ):

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- **Bigram language models** ( $n=2$ ):

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- **N-Gram language models** ( $n>2$ ): similar to bigram models—should be paired with sparse techniques to store the probabilities.

## Sample Sentences from Unigram and Bigram LMs

Both trained on financial news.

Model 1:

*fifth an of futures the an incorporated a a the inflation most  
dollars quarter in is mass thrift did eighty said hard 'm july  
bullish that or limited the*

Model 2:

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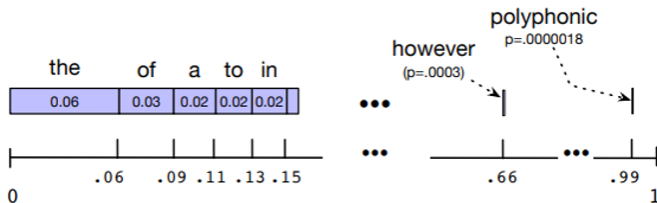
- Generate the first word  $w_1 \sim P(w_1)$ .
- Generate the second word  $w_2 \sim P(w_2 \mid w_1)$ .
- Generate the third word  $w_3 \sim P(w_3 \mid w_1, w_2) = P(w_3 \mid w_2)$ .
- ...
- Repeat until the  $\langle \text{EOS} \rangle$  token is generated.

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Recap: sampling from a distribution.



## Neural Autoregressive LMs



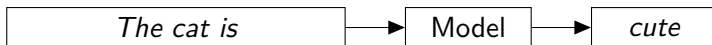
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**Key idea:** generate one token at a time.

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**Key idea:** generate one token at a time.

Compared to n-gram LMs, Transformer-based LMs can handle much longer dependencies and generate coherent text.

Suppose I have one apple, and you have two more apples than me.  
How many apples do we have together?

You have **one** apple, and I have **two more than you**, which means I have  $1 + 2 = 3$  apples.

Together, we have:

$1 + 3 = 4$  apples. 🍏🍏🍏🍏

## Neural Autoregressive LMs: Training

Suppose training examples are drawn from an i.i.d. distribution.

Objective: maximize the (log) likelihood of the training data, which can be broken down into token-level probabilities.

$$\begin{aligned}\Theta^* &= \arg \max_{\Theta} \sum_{i=1}^N \log P_{\Theta}(\mathbf{x}_i) \\ &= \arg \max_{\Theta} \sum_{i=1}^N \sum_{t=1}^{T_i} \log P_{\Theta}(x_{i,t} \mid x_{i,1}, \dots, x_{i,t-1})\end{aligned}$$

## Recap: Unified View of NLP

$$\arg \max_y \text{score}(s, y; \Theta)$$

$s$ : input text,  $y$ : output,  $\Theta$ : model parameters.

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These two lectures: language models, with  $y$  being a word and  $s$  being the context.

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These two lectures: language models, with  $y$  being a word and  $s$  being the context.

- From the classification perspective, this is a natural extension of classification.

## Recap: Unified View of NLP

$$\arg \max_y \text{score}(s, y; \Theta)$$

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Past lectures: text classification, with  $y$  being a class label.

These two lectures: language models, with  $y$  being a word and  $s$  being the context.

- From the classification perspective, this is a natural extension of classification.
- From the word embeddings perspective, we are now allowed to use more complex models  $\text{score}(s, y; \Theta)$ .

## Generating Text from Language Models

Given a well-trained language model  $P_{\Theta}(x_t \mid x_1, \dots, x_{t-1})$ , how do we generate text?

# Generating Text from Language Models

Given a well-trained language model  $P_{\Theta}(x_t \mid x_1, \dots, x_{t-1})$ , how do we generate text?

At each time step, we have several options:

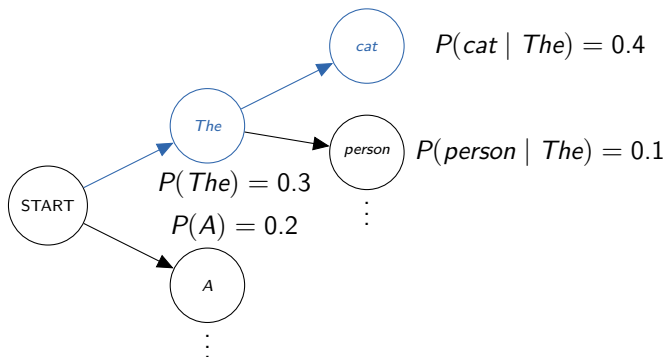
- **Greedy decoding**: choose the token with the highest probability.
- **Beam search**: keep track of the top- $k$  hypotheses.
- **Sampling**: sample from the distribution.
- **Top- $k$  sampling**: sample from the top- $k$  tokens with the highest probability.
- **Nucleus sampling (top-p) sampling**: sample from the smallest set of tokens whose cumulative probability exceeds a threshold  $p$ .

## Greedy Decoding

At each time step, choose the token with the highest probability.  
Repeat until the  $\langle \text{EOS} \rangle$  token is generated, or it reaches a maximum length.

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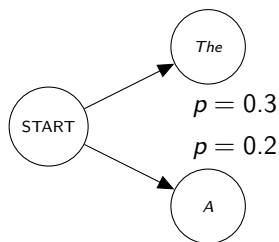
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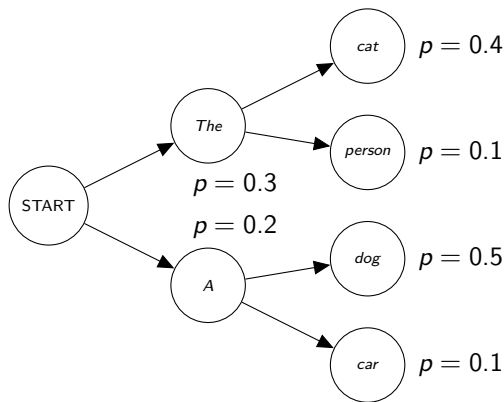
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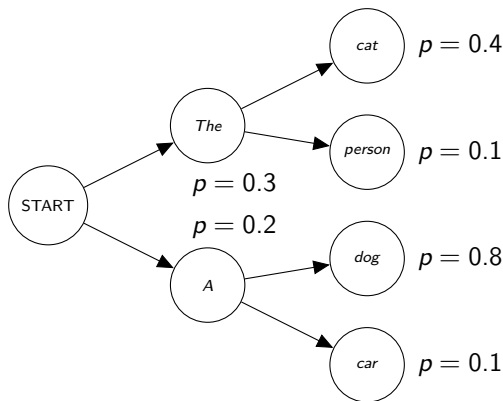
Step 2:

- The cat (0.12)
- A dog (0.1)



## Greedy Decoding vs. Beam Search

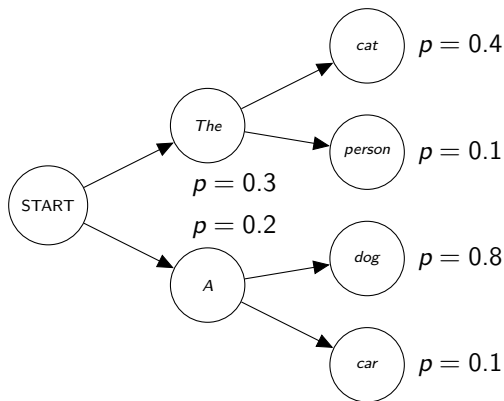
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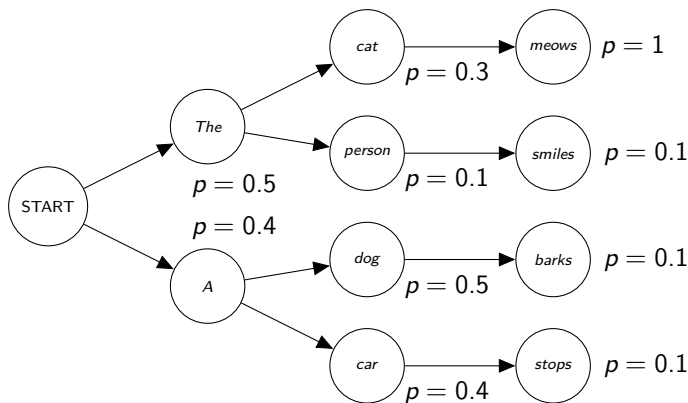
**A:** Beam search (*A dog*,  $P = 0.16$ ).





## Greedy Decoding vs. Beam Search

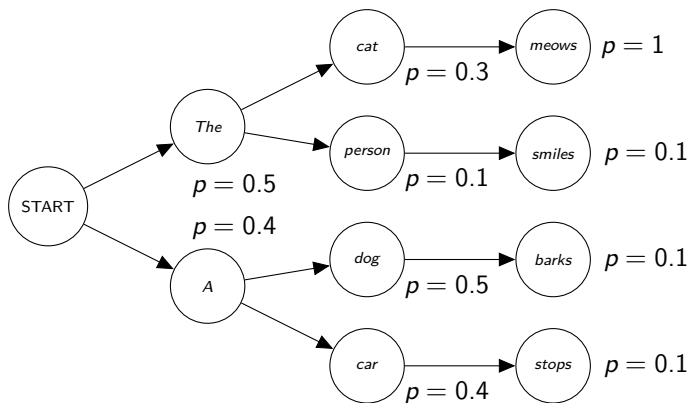
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## Greedy Decoding vs. Beam Search

**Q:** Which one gives a sequence with higher probability among all 3-token sentences, greedy decoding or beam search ( $k = 2$ )?

**A:** Greedy decoding (*The cat meows*,  $P = 0.15$ ).



## Language Modeling: Summary

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Masked language modeling (BERT, Devlin et al., 2019):



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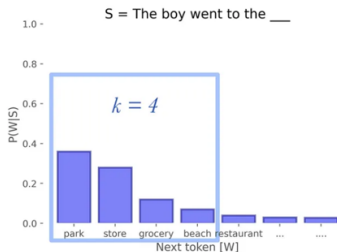
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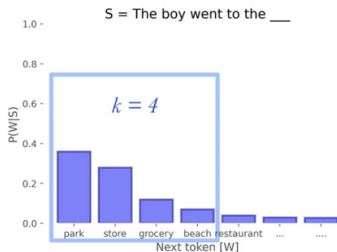
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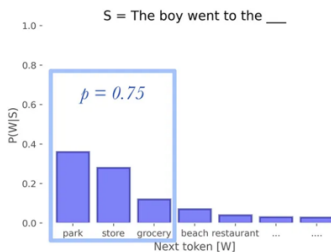
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Avoid sampling from the tail of the distribution.

### Top- $p$ (Nucleus) sampling (Holtzman et al., 2019)



Another way to define the tail of the distribution.

# Evaluating Language Models

**Extrinsic (task-based) evaluation:** use the language model as a component in a downstream task, and see if the performance improves.





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**Extrinsic (task-based) evaluation:** use the language model as a component in a downstream task, and see if the performance improves.

Downsides:

- Can be time-consuming.
- The performance may be affected by how LMs are used.

**Intrinsic evaluation:** compute and compare the probability on held-out data, where **perplexity** is the standard metric.

Downsides:

- May not correlate well with downstream task performance.



## Perplexity of Held-Out Data

Log-probability of held-out data  $\mathcal{X}$  with model  $P_{\Theta}$ :

$$\log P_{\Theta}(\mathcal{X}) = \sum_{\mathbf{x} \in \mathcal{X}} \log_2 P_{\Theta}(\mathbf{x})$$

Divide by the number of tokens (including the  $\langle \text{EOS} \rangle$  token) to get the average log-probability per token:

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Higher the probability of the held-out data means... it's less perplexing to the model.

Next

## Masked Language Models, Sequence Labeling