

CS 784: Computational Linguistics

Lecture 11: Masked Language Models and Sequence Labeling

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Recap: Language Models

Autoregressive language modeling (e.g., GPT, Radford et al., 2018):

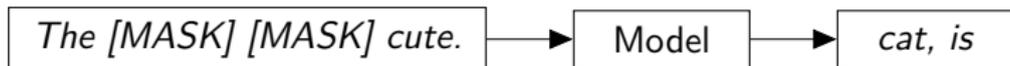


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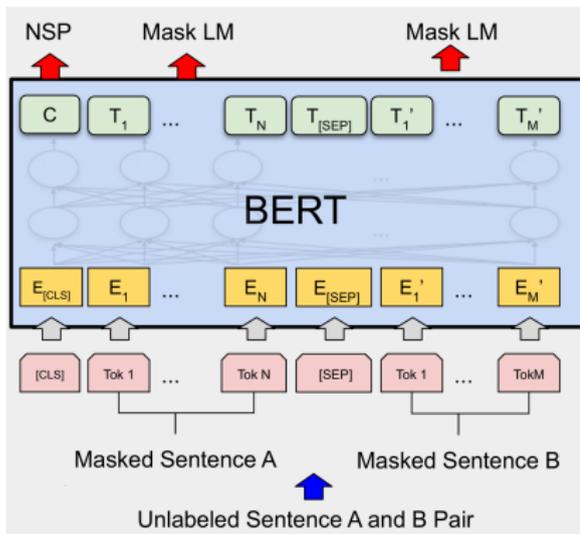


Masked language modeling (BERT, Devlin et al., 2019):



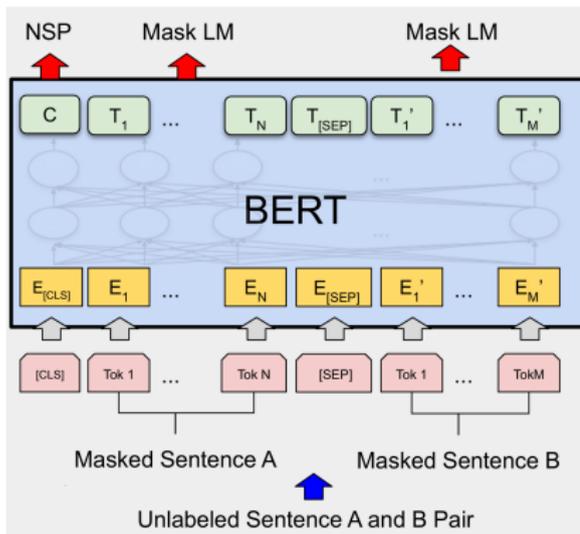
Masked Language Models

Bidirectional Encoder Representations from Transformers (BERT, Devlin et al., 2019):



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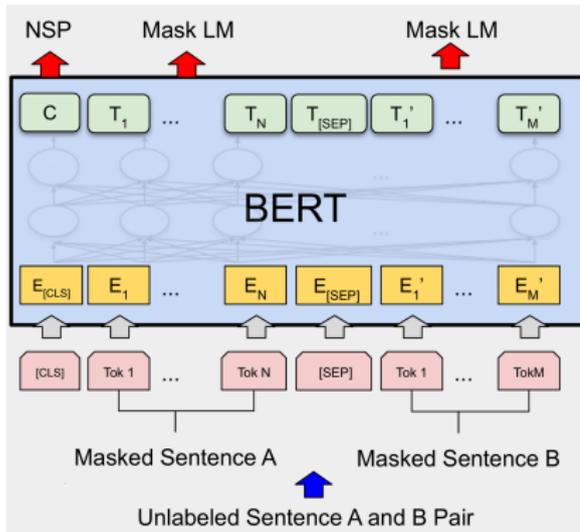
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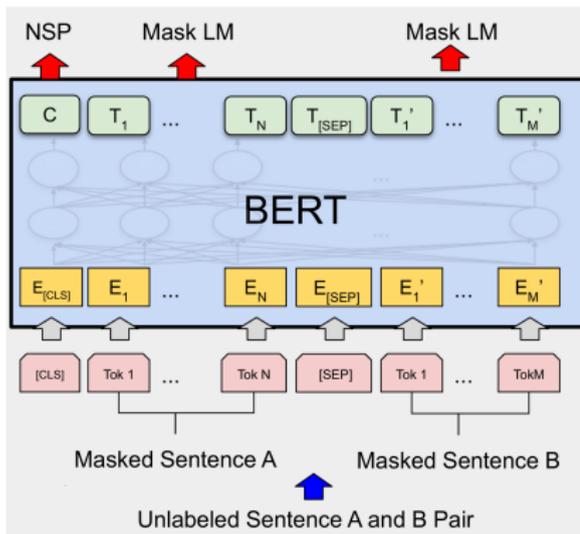
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- Two objectives:
 - Masked LM.
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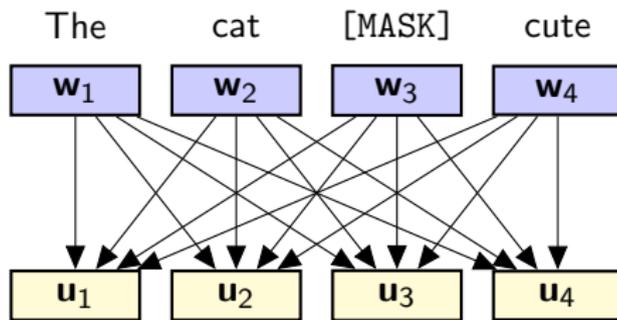
Bidirectional Encoder Representations from Transformers (BERT, Devlin et al., 2019):



- Two (random) sentences.
- Two objectives:
 - Masked LM.
 - Next sentence prediction (NSP).
- Two special tokens:
 - [CLS]: classification token.
 - [SEP]: separator token.

Pretraining Objectives

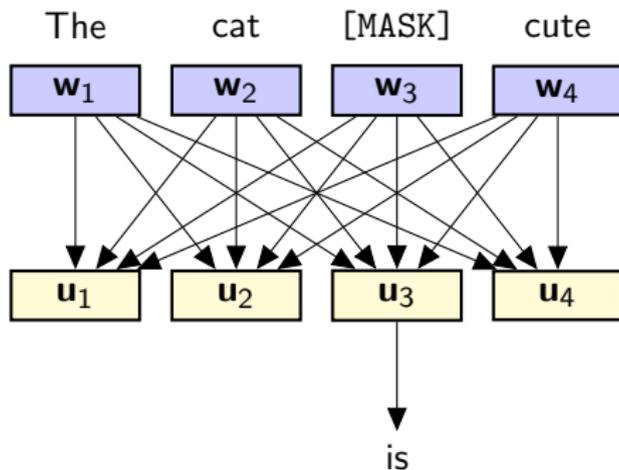
- **Masked LM:** given a sentence, mask some tokens and predict them.
 - A portion (15%) of tokens are replaced with [MASK].
 - Predict masked tokens using the output of the model.



$$w_1, \dots, w_4 \rightarrow K, Q, V \quad U = V \text{softmax} \left(\frac{K^T Q}{\sqrt{d}} \right)$$

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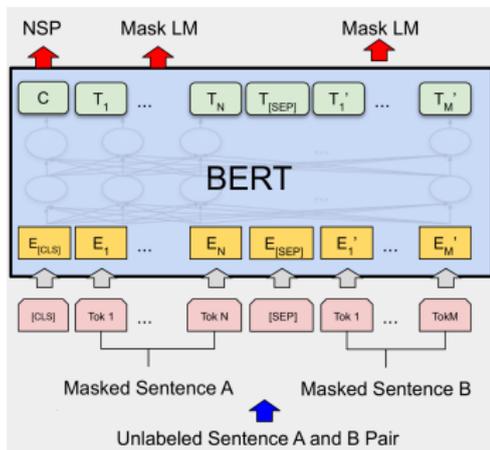
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Underlying hypothesis: understanding of sentence relations makes better general-purpose sentence representation.
Approach: **binary classification** with the [CLS] token representation.



RoBERTa (Liu et al., 2020): no NSP, larger batch size, more data, more training steps.
Works better than BERT.

The Special Tokens: [CLS] and [SEP]

- [SEP]: the separator token indicating sentence boundaries.
- [CLS]: the classification token.
 - The output of the [CLS] token is used for next-sentence prediction.

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There is no specific reason why [CLS] is at the beginning.

After Pretraining

- **Feature-based transfer learning:** instead of manually designed features, use a pre-trained model as feature extractor. Train another model with the extracted features. All layers of the pre-trained model are **frozen**.
- **Fine-tuning:** Keep the model architecture and weights, but continue training on a new task. The model weights can be updated during fine-tuning.

Practical convention: use the [CLS] token output as text representation for classification tasks.

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Practical convention: use the [CLS] token output as text representation for classification tasks.

I strongly encourage you to try out the BERT model in the Hugging Face Transformers library if you haven't done so! https://huggingface.co/docs/transformers/en/model_doc/bert

Sequence Labeling: The Task

Input: The cat is cute
Output: DT NN VBZ JJ

Sequence labeling: assign a label to each token in a sequence.

Taking part-of-speech (POS) tagging as an example:

$$\text{classify}(s) = \arg \max_y \text{score}(s, y; \Theta)$$

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Key difference from classification: the output is a sequence, not a single label.

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We write this as $X \perp Y | Z$.

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Example: height and vocabulary size are (or at least should be) conditionally independent given age.

Markov Assumption and Markov Chain

Recap: the Markov assumption in n-gram language models implies an (n-1)-th order Markov assumption.

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A good starting point of learning probabilistic graphical models.

Hidden Markov Models (HMMs)

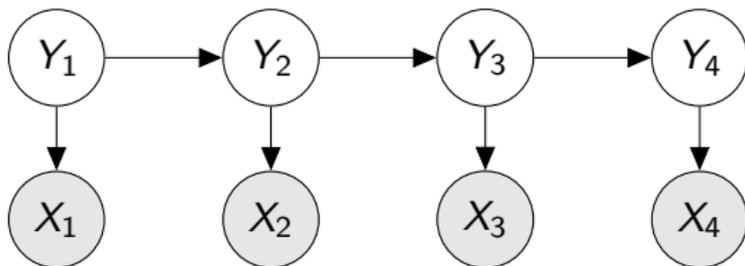
Modeling the joint probability of the **observed sequence of variables** X_1, \dots, X_n and the **hidden sequence of variables** Y_1, \dots, Y_n :

$$P(X_1, \dots, X_n, Y_1, \dots, Y_n) = P(Y_1) \prod_{i=2}^n P(Y_i | Y_{i-1}) \prod_{i=1}^n P(X_i | Y_i)$$

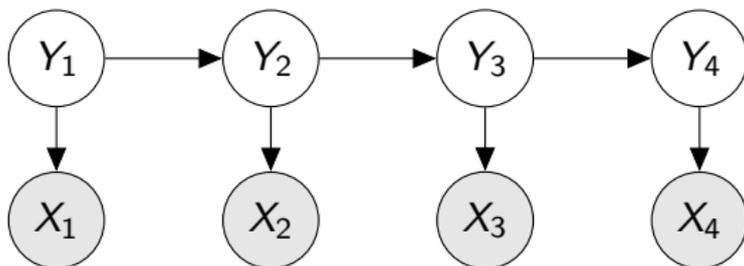
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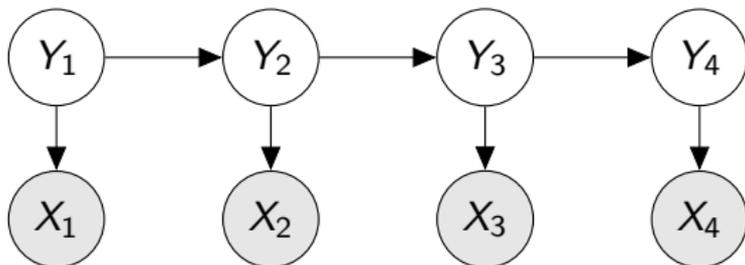
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Conditional Independence in HMMs

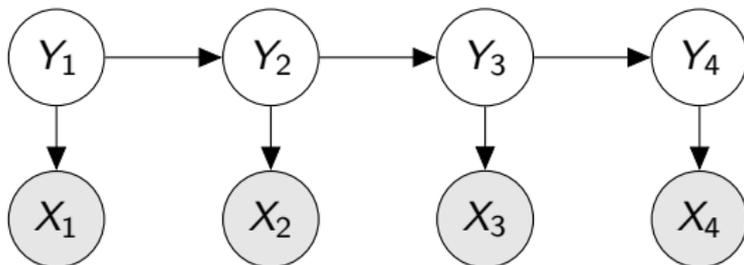


Conditional Independence in HMMs



Intuitive interpretation: if the given variable Z is removed from the graph, two variables X and Y are conditionally independent if they are disconnected.

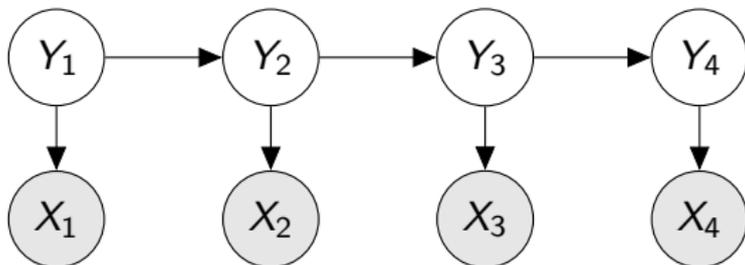
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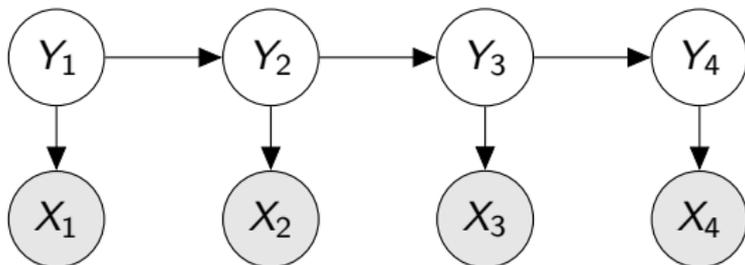
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More Background: Bayesian Networks

In a Bayesian network, the direction of arcs does not necessarily have specific meanings.



These two Bayesian networks represents the following, respectively.

$$P(X, Y) = P(X)P(Y | X) \quad P(X, Y) = P(Y)P(X | Y)$$

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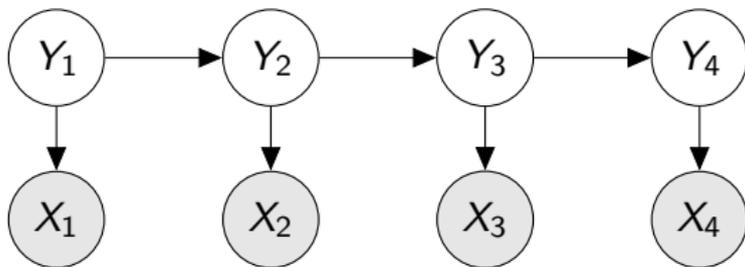
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However, it's always intuitive to construct Bayesian networks with **causal relationships** in mind.

Problem Formulation

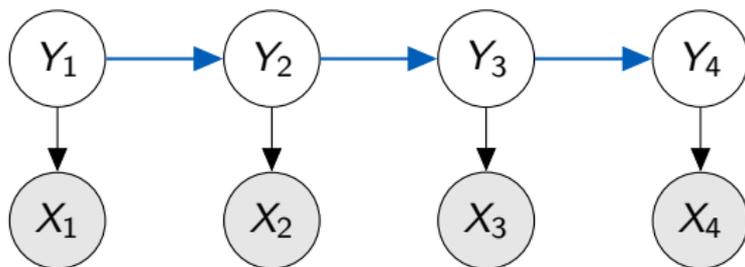
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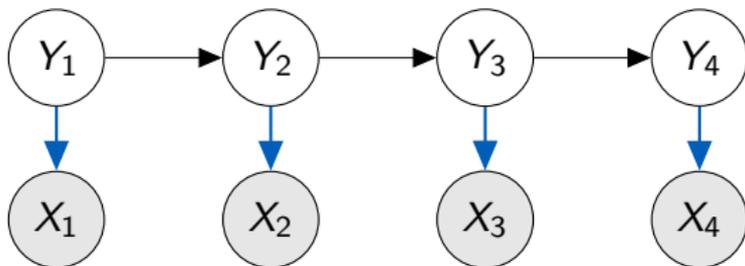
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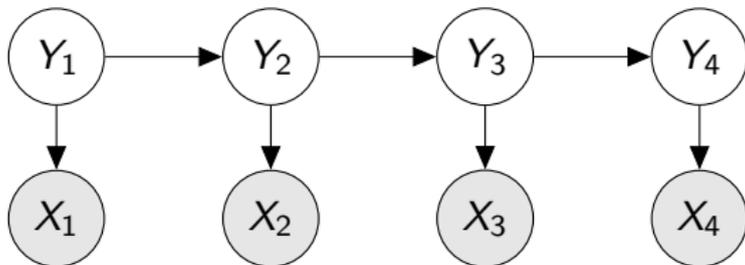
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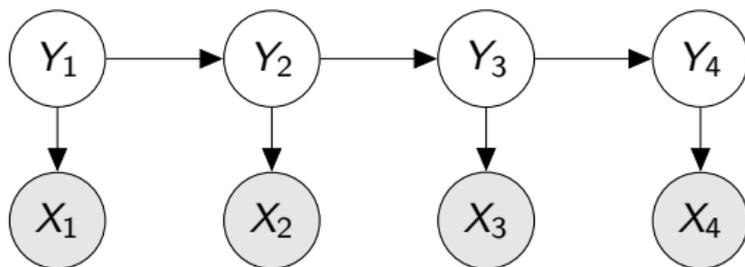


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What is the most likely sequence of hidden states Y_1, \dots, Y_n ?



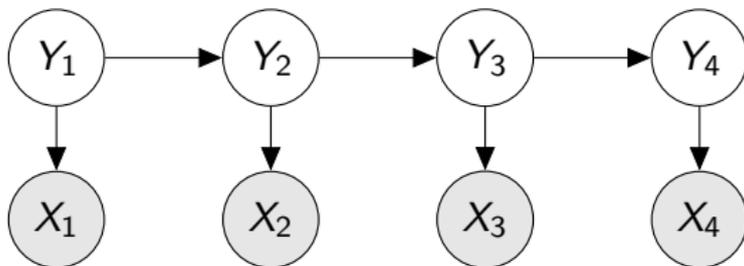
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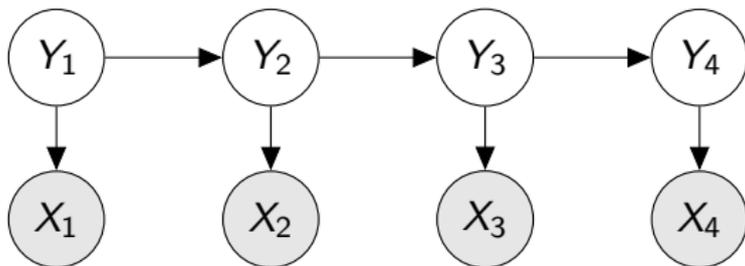
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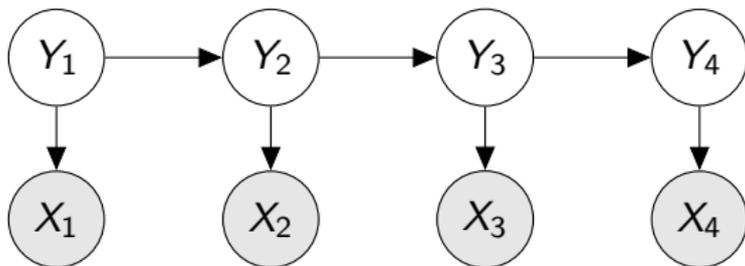
Inference with HMMs

Goal : $\arg \max_{Y_1, \dots, Y_n} P(Y_1, \dots, Y_n | X_1, \dots, X_n)$
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Bruce-force solution: enumerate all possible sequences of hidden states and compute the joint probability.

The Viterbi algorithm: compute it efficiently with dynamic programming.

The Viterbi Algorithm (cont.)

This **dynamic programming** algorithm depends on the conditional independence.

$$F[i, j] = \max_{y_1, \dots, y_{i-1}} P(y_1, \dots, y_{i-1}, Y_i = y_j, X_1, \dots, X_i)$$

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Training HMMs with Supervised Data

Suppose we have a set of training data $\{(x_{1,1}, y_{1,1}), (x_{1,2}, y_{1,2}), \dots, (x_{1,n_1}, y_{1,n_1}), \dots, (x_{m,1}, y_{m,1}), \dots, (x_{m,n_m}, y_{m,n_m})\}$.

m : number of sequences n_i : length of the i -th sequence.

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We can directly estimate the HMM parameters (i.e., transition, emission and start probabilities) from the data by counting.

$$P(Y_i = y_j \mid Y_{i-1} = y_\ell) = \frac{\text{count}(y_\ell, y_j)}{\text{count}(y_\ell)}$$

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HMM Induction

What if the training data is not labeled?

We have a set of training input only

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- **Maximization**: update the model parameters based on the expected counts.

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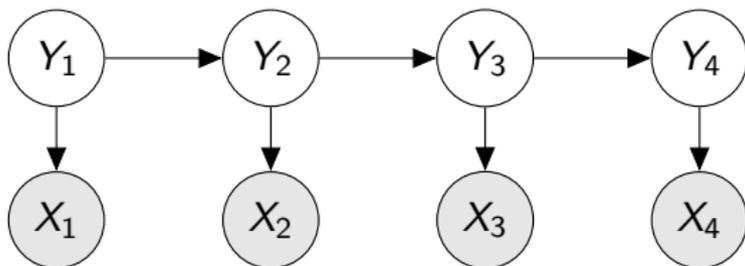
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- **Expectation**: compute the probability of the hidden states given the observed data.
- **Maximization**: update the model parameters based on the expected counts.

Also known as the **Baum-Welch algorithm**.

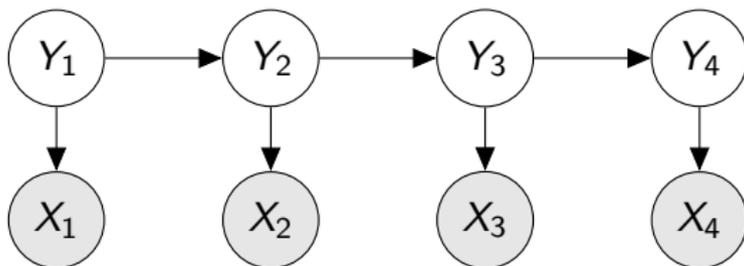
Forward Probability

$$\begin{aligned}\alpha_i(j) &= P(X_1 = x_1, \dots, X_i = x_i, Y_i = y_j) \\ &= \sum_{j'=1}^k P(X_1 = x_1, \dots, X_i = x_i, Y_{i-1} = y_{j'}, Y_i = y_j)\end{aligned}$$



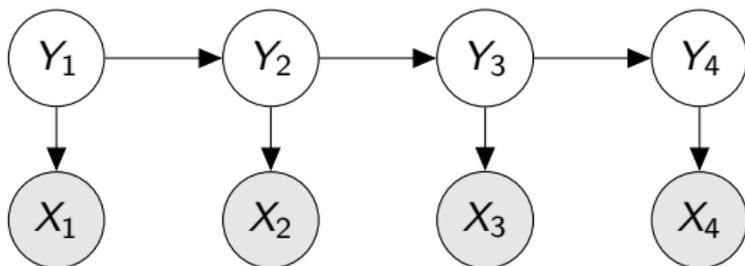
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Forward-Backward Probability

Given α and β , we can compute the **forward-backward probability** (i.e., soft count):

$$\alpha_i(j)\beta_i(j) = P(X_{1:i}, Y_i = y_j)P(X_{i+1:n} | Y_i = y_j)$$

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And also the **soft transition count**:

$$\tilde{\zeta}_i(j, j') = P(Y_i = y_j, Y_{i+1} = y_{j'} \mid X_{1:n})$$

Estimated Soft Transition Count

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Training HMMs with EM

- **E-step**: compute the forward-backward probability γ and the soft transition probability ξ .
- **M-step**: update the model parameters based on the expected counts.

$$P(Y_1 = y_j) = \frac{\sum_{i=1}^m \gamma_1^{(i)}(j)}{m}$$

$$P(Y_i = y_j \mid Y_{i-1} = y_\ell) = \frac{\sum_{i=1}^m \sum_{t=1}^{n_{i-1}} \xi_t^{(i)}(\ell, j)}{\sum_{i=1}^m \sum_{t=1}^{n_{i-1}} \gamma_t^{(i)}(\ell)}$$

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The Baum-Welch algorithm is a special case of the EM algorithms.

Semi-Supervised Learning of HMMs

If we have a small amount of labeled data and a large amount of unlabeled data, we can use the **semi-supervised learning** approach.

Estimate the model parameters with the labeled data, then use the EM algorithm to estimate the model parameters with the unlabeled data.

Complexity Analysis

- The Viterbi algorithm:

$$F[i, j] = \max_{y_\ell} F[i-1, \ell] P(Y_i = y_j \mid Y_{i-1} = y_\ell) P(X_i \mid Y_i = y_j)$$

Complexity Analysis

- The Viterbi algorithm: time complexity $O(nk^2)$ and space complexity $O(nk)$.

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