

CS 784: Computational Linguistics
Lecture 11: Masked Language Models and
Sequence Labeling

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Recap: Language Models

Autoregressive language modeling (e.g., GPT, Radford et al., 2018):



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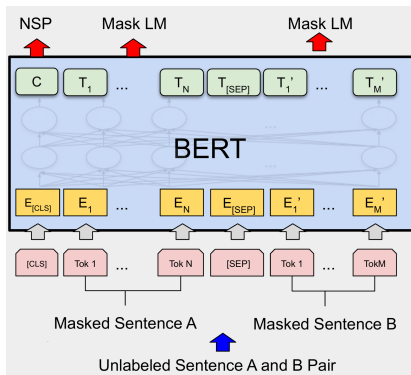


Masked language modeling (BERT, Devlin et al., 2019):



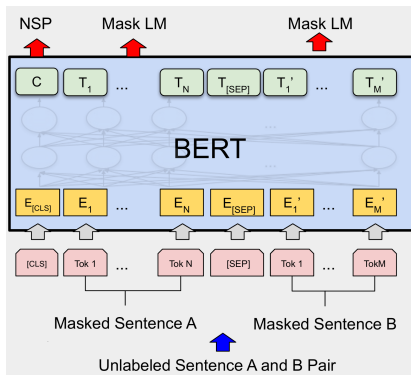
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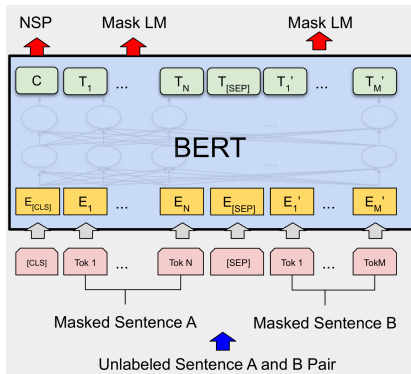
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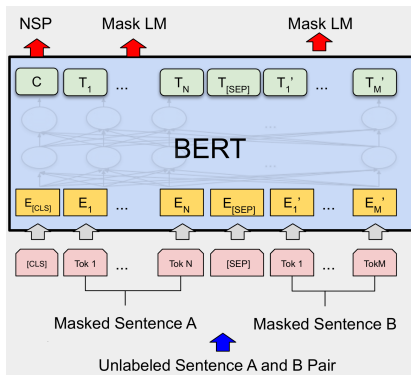
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- Two special tokens:
 - [CLS]: classification token.
 - [SEP]: separator token.

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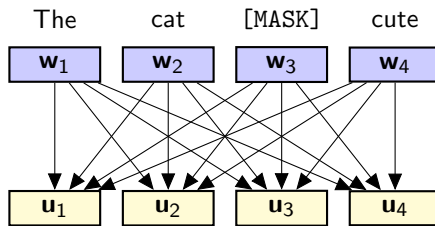
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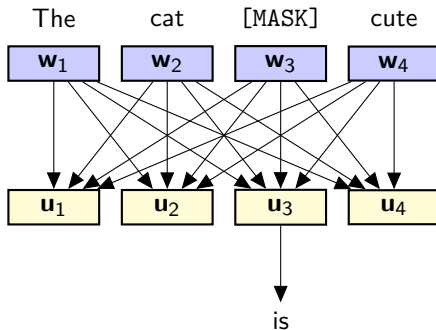
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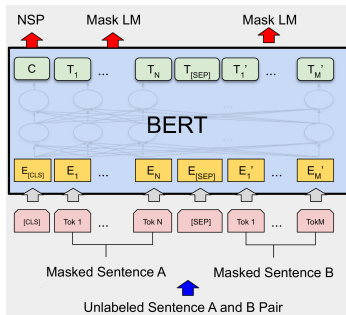
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Approach: **binary classification** with the [CLS] token representation.



RoBERTa (Liu et al., 2020): no NSP, larger batch size, more data, more training steps.
Works better than BERT.

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These tokens can be renamed with whatever you like.

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I strongly encourage you to try out the BERT model in the Hugging Face Transformers library if you haven't done so! https://huggingface.co/docs/transformers/en/model_doc/bert

Sequence Labeling: The Task

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Key difference from classification: the output is a sequence, not a single label.

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Example: height and vocabulary size are (or at least should be) conditionally independent given age.

Markov Assumption and Markov Chain

Recap: the Markov assumption in n-gram language models implies an (n-1)-th order Markov assumption.

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A good starting point of learning probabilistic graphical models.

Hidden Markov Models (HMMs)

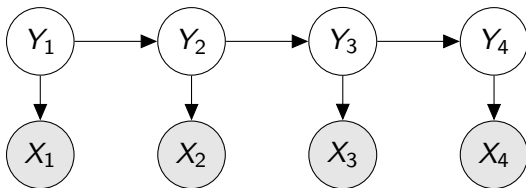
Modeling the joint probability of the **observed sequence of variables** X_1, \dots, X_n and the **hidden sequence of variables** Y_1, \dots, Y_n :

$$P(X_1, \dots, X_n, Y_1, \dots, Y_n) = P(Y_1) \prod_{i=2}^n P(Y_i \mid Y_{i-1}) \prod_{i=1}^n P(X_i \mid Y_i)$$

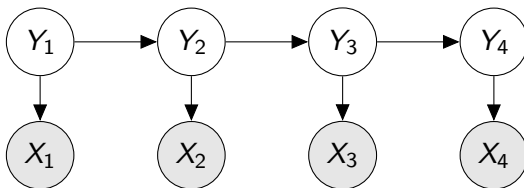
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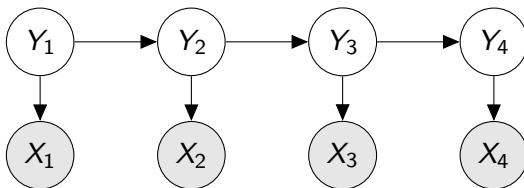
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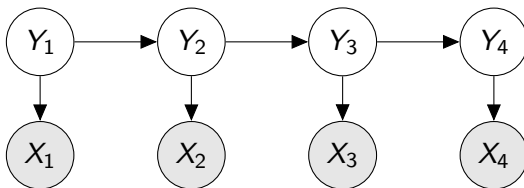


Intuitive interpretation: if the given variable Z is removed from the graph, two variables X and Y are conditionally independent if they are disconnected.

$$Y_t \perp$$

$$| Y_{t-1}$$

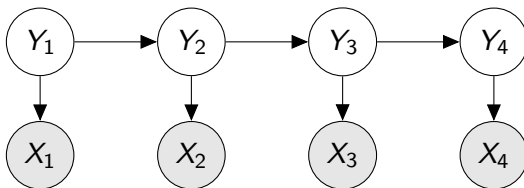
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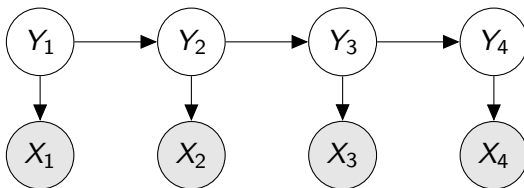


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More Background: Bayesian Networks

In a Bayesian network, the direction of arcs does not necessarily have specific meanings.

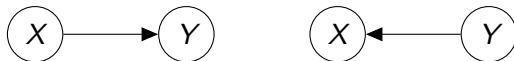


These two Bayesian networks represents the following, respectively.

$$P(X, Y) = P(X)P(Y | X) \quad P(X, Y) = P(Y)P(X | Y)$$

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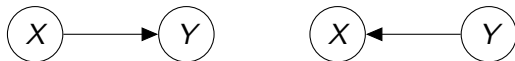


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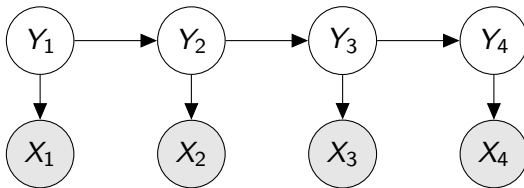
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However, it's always intuitive to construct Bayesian networks with **causal relationships** in mind.

Problem Formulation

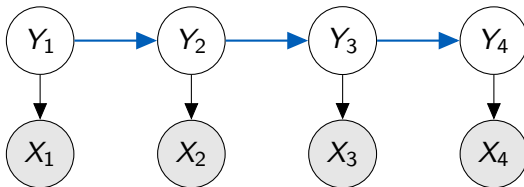
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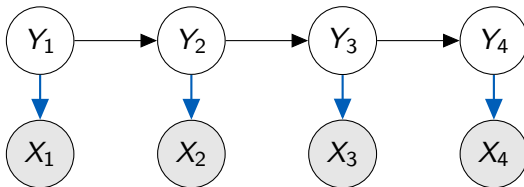
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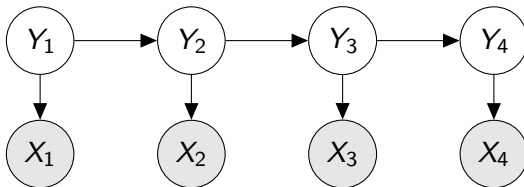
- The **transition probabilities** $P(Y_i | Y_{i-1})$, shared across time steps
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- The **transition probabilities** $P(Y_i \mid Y_{i-1})$, shared across time steps
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- The **initial state distribution** $P(Y_1)$

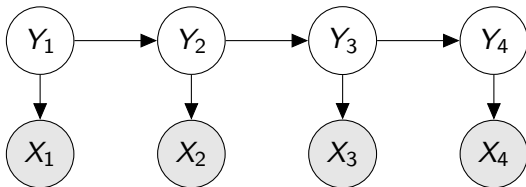


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- The observation sequence X_1, \dots, X_n

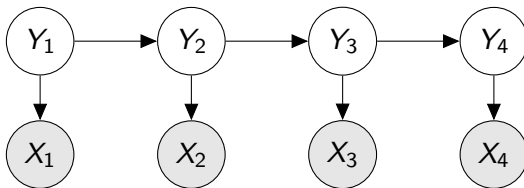
What is the most likely sequence of hidden states Y_1, \dots, Y_n ?



Inference with HMMs

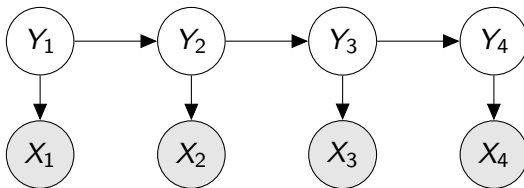
Goal : $\arg \max_{Y_1, \dots, Y_n} P(Y_1, \dots, Y_n \mid X_1, \dots, X_n)$

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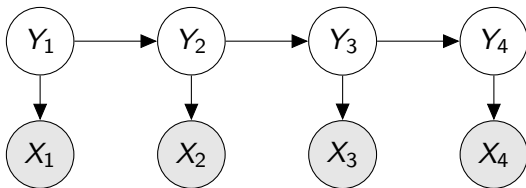


Bruce-force solution: enumerate all possible sequences of hidden states and compute the joint probability.

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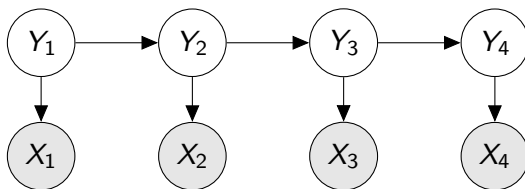


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The Viterbi algorithm: compute it efficiently with dynamic programming.

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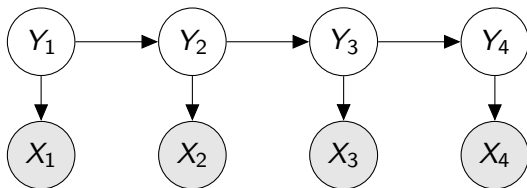


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For all $i = 1, \dots, n$ and $j = 1, \dots, k$

$$F[i, j] = \max_{y_1, \dots, y_{i-1}} P(y_1, \dots, y_{i-1}, Y_i = y_j, X_1, \dots, X_i)$$



The Viterbi Algorithm (cont.)

This **dynamic programming** algorithm depends on the conditional independence.

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Training HMMs with Supervised Data

Suppose we have a set of training data $\{(x_{1,1}, y_{1,1}), (x_{1,2}, y_{1,2}), \dots, (x_{1,n_1}, y_{1,n_1}), \dots, (x_{m,1}, y_{m,1}), \dots, (x_{m,n_m}, y_{m,n_m})\}$.

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We can directly estimate the HMM parameters (i.e., transition, emission and start probabilities) from the data by counting.

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$$P(Y_i = y_j \mid Y_{i-1} = y_\ell) = \frac{\text{count}(y_\ell, y_j)}{\text{count}(y_\ell)}$$

$$P(X_i = x_j \mid Y_i = y_\ell) = \frac{\text{count}(x_j, y_\ell)}{\text{count}(y_\ell)}$$

$$P(Y_i = y_j) = \frac{\text{count}(\hat{y}_j)}{m}$$

HMM Induction

What if the training data is not labeled?

We have a set of training input only

$$\{x_{1,1}, x_{1,2}, \dots, x_{1,n_1}, \dots, x_{m,1}, \dots, x_{m,n_m}\}.$$

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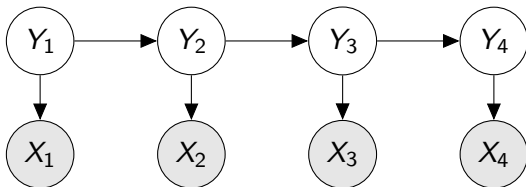
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- **Expectation**: compute the probability of the hidden states given the observed data.
- **Maximization**: update the model parameters based on the expected counts.

Also known as the **Baum-Welch algorithm**.

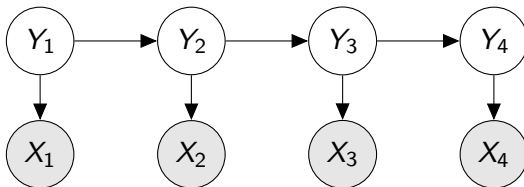
Forward Probability

$$\alpha_i(j) = P(X_1 = x_1, \dots, X_i = x_i, Y_i = y_j)$$



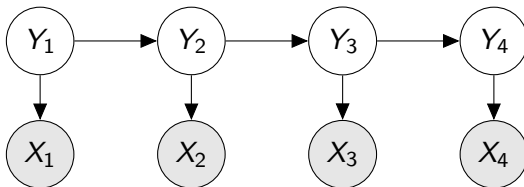
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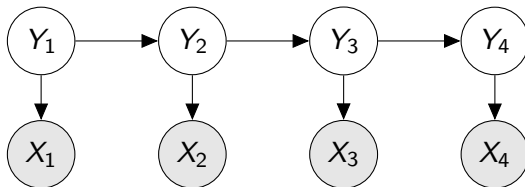
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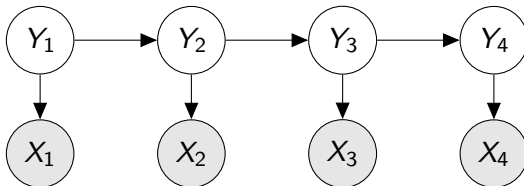
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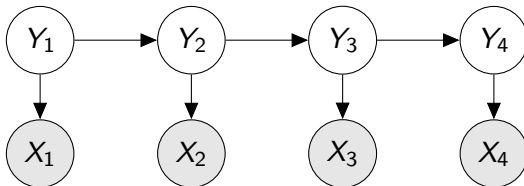
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$$\beta_{i(j)} = P(X_{i+1} = x_{i+1}, \dots, X_n = x_n \mid Y_i = y_j)$$



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Forward-Backward Probability

Given α and β , we can compute the **forward-backward probability** (i.e., soft count):

$$\alpha_i(j)\beta_i(j) = P(X_{1:i}, Y_i = y_j)P(X_{i+1:n} \mid Y_i = y_j)$$

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And also the **soft transition count**:

$$\xi_i(j, j') = P(Y_i = y_j, Y_{i+1} = y_{j'} \mid X_{1:n})$$

Estimated Soft Transition Count

$$\xi_i(j, j') = P(Y_i = y_j, Y_{i+1} = y_{j'} \mid X_{1:n})$$

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Training HMMs with EM

- **E-step**: compute the forward-backward probability γ and the soft transition probability ξ .
- **M-step**: update the model parameters based on the expected counts.

$$P(Y_1 = y_j) = \frac{\sum_{i=1}^m \gamma_1^{(i)}(j)}{m}$$

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The Baum-Welch algorithm is a special case of the EM algorithms.

Semi-Supervised Learning of HMMs

If we have a small amount of labeled data and a large amount of unlabeled data, we can use the **semi-supervised learning** approach.

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Estimate the model parameters with the labeled data, then use the EM algorithm to estimate the model parameters with the unlabeled data.

Complexity Analysis

- The Viterbi algorithm:

$$F[i, j] = \max_{y_\ell} F[i-1, \ell] P(Y_i = y_j \mid Y_{i-1} = y_\ell) P(X_i \mid Y_i = y_j)$$

Complexity Analysis

- The Viterbi algorithm: time complexity $O(nk^2)$ and space complexity $O(nk)$.

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Next

Conditional Random Fields

Sequence Labeling with Neural Networks