CS 784: Computational Linguistics
Lecture 16: Grounded Semantics

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Meanings in the Real World

My favorite fruit is **apple**.

Experience Grounds Language (Bisk et al., 2020)

We posit that the present success of representation learning approaches trained on large, text-only corpora requires the parallel tradition of research on the broader ***physical and social context*** *of language to address the deeper questions of communication.*

[Bisk, Y. et al., 2020. *Experience Grounds Language*. In *EMNLP*.]

What is Grounding?

Given the primary data source $\mathcal{X}$ and the ground $\mathcal{Y}$, **grounding** is the process of establishing a meaningful relationship between them.

It is implied that the mutual information $I(\mathcal{X}; \mathcal{Y}) > 0$.

Usually, we also have $H(\mathcal{Y} \mid \mathcal{X}) > 0$—the ground is not specifically determined by the data source.

Examples

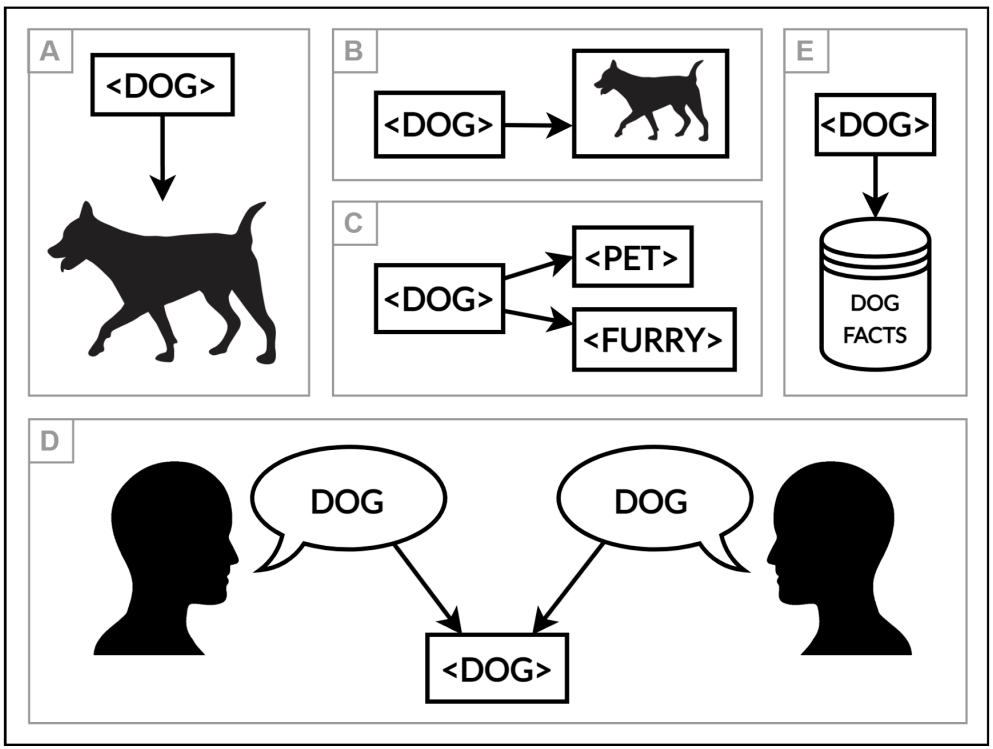
- $\mathcal{X}$: text, $\mathcal{Y}$: image (represent meaning of text with image)
- $\mathcal{X}$: utterance from person A, $\mathcal{Y}$: mental state of person B (understanding the communication intention)
- $\mathcal{X}$: text, $\mathcal{Y}$: audio (connecting text with corresponding audio)
- $\mathcal{X}$: image, $\mathcal{Y}$: text (image understanding with textual supervision)

[Chai, J. Y. et al. 2018. *Language to action: Towards interactive task learning with physical agents*. In *IJCAI*.]

[Shi, H. F. 2024. *Learning language structures through grounding*. Ph.D. Thesis. Toyota Technological Institute at Chicago.]

Grounding: A Comprehensive Taxonomy

Grounding can be categorized into A. referential grounding, B. sensorimotor grounding, C. relational grounding, D. communicative grounding, and E. epistemic grounding.



[Figure credit: Mollo and Millière. The Vector Grounding Problem. https://arxiv.org/pdf/2304.01481]

Grounding: This Lecture

Grounding is a broad topic that goes beyond semantics—communicative grounding is the key of pragmatics.

However, in this lecture, we focus on **semantic grounding** (or more specifically, sensorimotor grounding): representing meanings of text with data from other modalities (e.g., images).

Recap: (Ungrounded) Pure-Text Language Models

Two popular types of (ungrounded) pure-text language models:

- Autoregressive models (e.g., GPT):

$$P_\Theta(w_i \mid w_1, \ldots, w_{i-1})$$

- Masked language models (e.g., BERT):

$$P_\Theta(w_i \mid w_1, \ldots, w_{i-1}, w_{i+1}, \ldots, w_n)$$

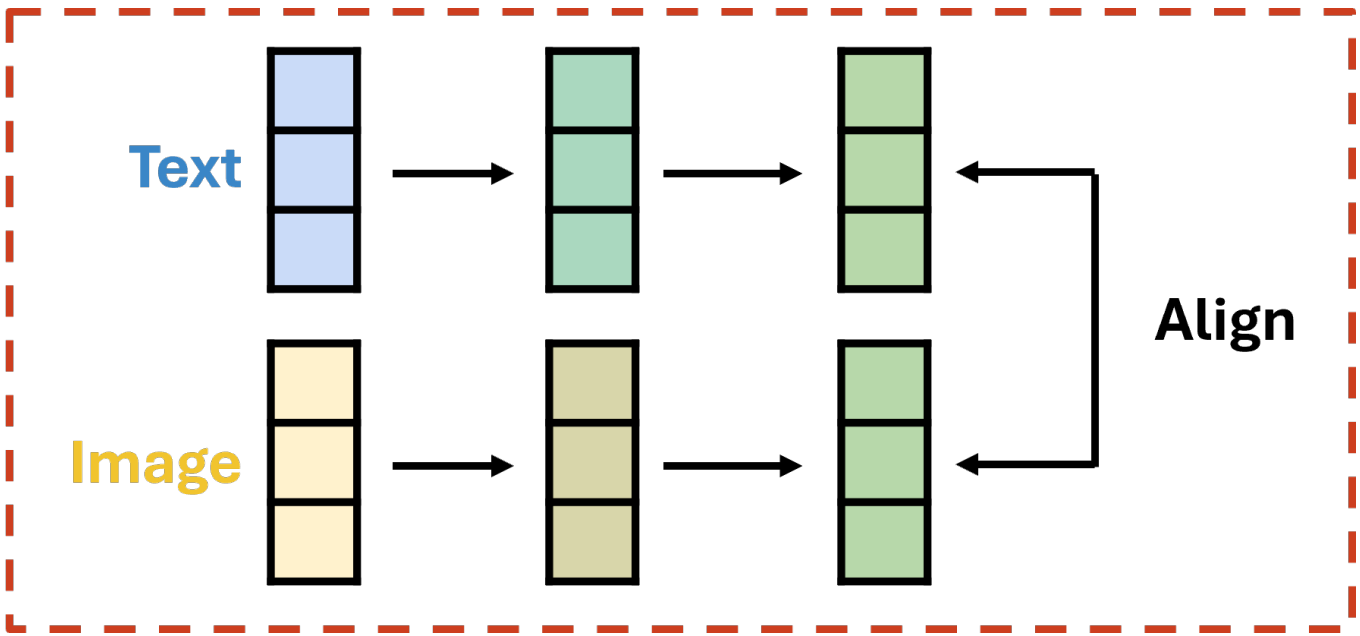
Whether these pure-text language models encode meaning, and to what extent, is still under debate.

[Radford, A. et al. 2018. *Improving language understanding by generative pretraining*.]

[Devlin, J. et al. 2019. *BERT: Pre-training of deep bidirectional transformers for language understanding*. In *NAACL*.]

Joint Visual-Semantic Embedding Space

Encode visual and textual information into a **shared space**.



Learning Joint Visual Semantic Space

Training data: pairs of images and text descriptions.

Core idea: encode images and text into a **joint embedding space** by minimizing the hinge-based triplet loss.

$$\Theta^* = \arg\min_{\Theta} \sum_{(I^+, T^+, T^-)} \max\left(0, \alpha - \text{sim}(I^+_\Theta, T^+_\Theta) + \text{sim}(I^+_\Theta, T^-_\Theta)\right) + \sum_{(T^+, I^+, I^-)} \max\left(0, \alpha - \text{sim}(T^+_\Theta, I^+_\Theta) + \text{sim}(T^+_\Theta, I^-_\Theta)\right)$$

I^+: 

I^-: 

T^+: *There is a cat standing on the lawn.*

T^-: *There is an apple on the table.*

[Kiros, R. et al. 2014. *Unifying visual-semantic embeddings with multimodal neural language models.*]

Properties of the Joint Space

Images and text descriptions are close in the joint space if they are semantically related.

Example Applications:

- Bidirectional image-caption retrieval: encode the query (image or text), and the "database" into the joint space and retrieve the closest neighbors.
- Image captioning: encode the image into the joint space, and train a decoder to generate text conditioned on the image encoding.

Text in the training corpus can be at any level of granularity (e.g., word, phrase, sentence, paragraph).

Variations of Training Objective: Hard Negative Mining

Original:

$$\Theta^* = \arg\min_{\Theta} \sum_{(I^+, T^+, T^-)} \left[\alpha - \text{sim}(I^+_\Theta, T^+_\Theta + \text{sim}(I^+_\Theta, T^-_\Theta))\right]_+ + \sum_{(T^+, I^+, I^-)} \left[\alpha - \text{sim}(T^+_\Theta, I^+_\Theta) + \text{sim}(T^+_\Theta, I^-_\Theta)\right]_+$$

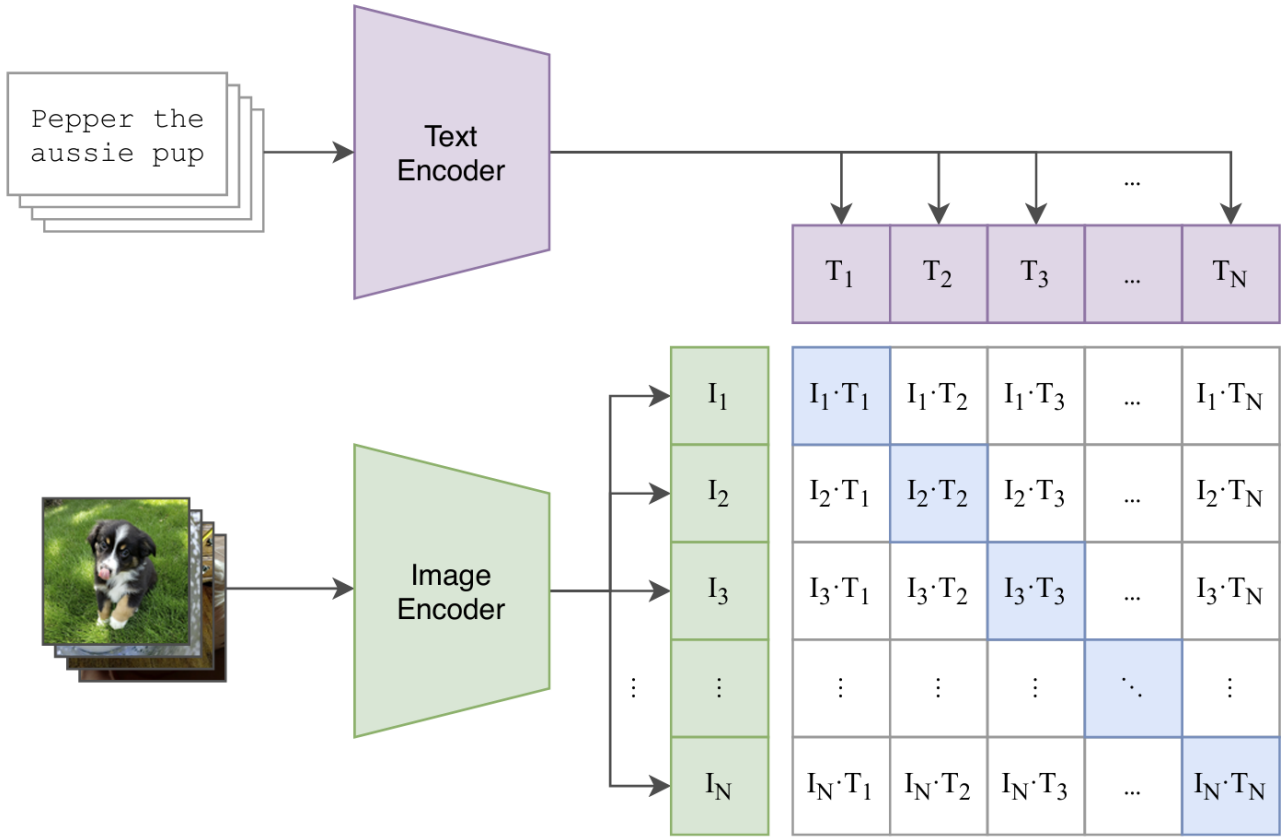
$$[\cdot]_+ = \max(0, \cdot)$$

Modified:

$$\Theta^* = \arg\min_{\Theta} \sum_{(I^+, T^+)} \max_{T^-} \left[\alpha - \text{sim}(I^+_\Theta, T^+_\Theta) + \text{sim}(I^+_\Theta, T^-_\Theta)\right]_+ + \sum_{(T^+, I^+)} \max_{I^-} \left[\alpha - \text{sim}(T^+_\Theta, I^+_\Theta) + \text{sim}(T^+_\Theta, I^-_\Theta)\right]_+$$

[Faghri, F. et al. 2017. *VSE++: Improving visual-semantic embeddings with hard negatives*. In *BMVC*.]

Variations of Training Objective: Contrastive Learning



[Radford, A. et al. 2021. *Learning transferable visual models from natural language supervision.*]

Variations of Training Objective: Contrastive Learning

$$\Theta^* = \arg\min_{\Theta} \mathbb{E}_{[(I_1, T_1), \ldots (I_n, T_n)]} \left[\sum_i -\log P_\Theta(T_i \mid I_i; [T_{1\ldots n}]) - \log P_\Theta(I_i \mid T_i; [I_{1\ldots n}]) \right]$$

$[(I_1, T_1), \ldots, (I_n, T_n)]$: a batch of image-text pairs.

There exists a probabilistic interpretation of the training loss.

- **Query**: image I_i.
- **Database**: text descriptions $T_1, \ldots, T_n$.
- **Ground truth**: T_i.

$P_\Theta(T_i \mid I_i; [T_{1\ldots n}])$: the probability of T_i being the correct retrieval result in the above settings.

Variations of Training Objective: Contrastive Learning

The softmax function converts a list of real values (e.g., $\mathbf{x} \in \mathbb{R}^n$) to a probability distribution.

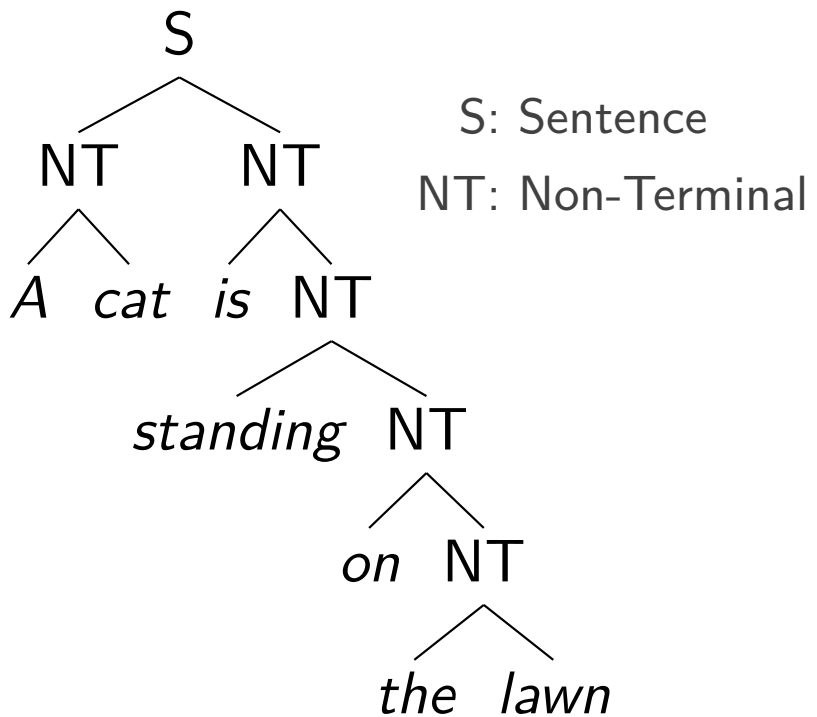
$$\mathrm{softmax}(\mathbf{x})_i = \frac{\exp(x_i)}{\sum_{j=1}^{n} \exp(x_j)}$$

$$P_\Theta(T_i \mid I_i; [T_{1\ldots n}]) = \frac{\exp(\langle I_i, T_i \rangle)}{\sum_{j=1}^{n} \exp(\langle I_i, T_j \rangle)} = [\mathrm{softmax}(I_i^\intercal [T_{1\ldots n}])]_i$$

Visually Grounded Grammar Induction

Input: Captioned images.
Output: Linguistically plausible structure for captions.

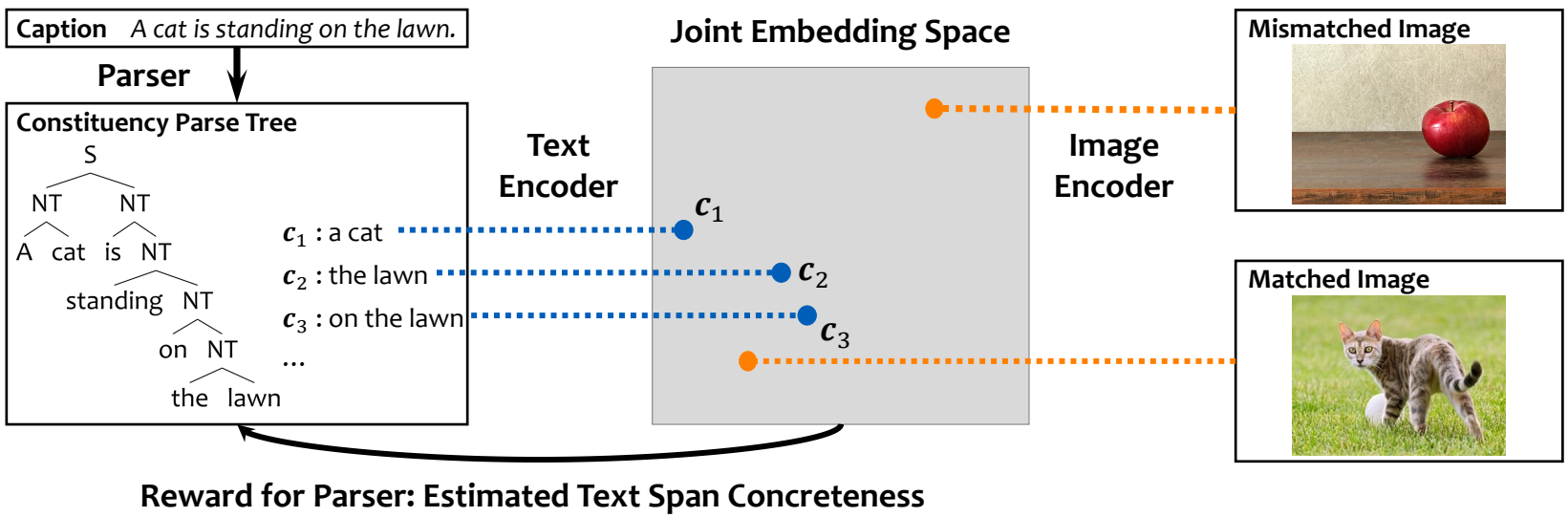
A cat is standing on the lawn.



[Source: Shi et al. 2019. Visually Grounded Neural Syntax Acquisition. In ACL.]

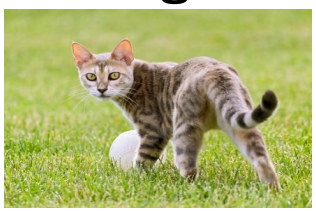
Grounded Signals for Syntax Acquisition

Hypothesis: more visually concrete word spans are more likely to be constituents.



Concreteness Estimation with the Joint Embedding Space

Image i

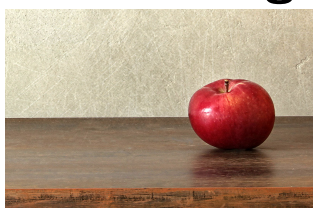


Candidate Constituent c

a cat

on the

Another Image i'



$$\ell(c; i, i') = \mathrm{sim}(i', c) - \mathrm{sim}(i, c)$$

Value of ℓ

$\mathrm{sim}($  $, a\ cat) = 0.2$ $\mathrm{sim}($  $, a\ cat) = 0.9$ $\ell = -0.7$

$\mathrm{sim}($  $, on\ the) = 0.4$ $\mathrm{sim}($  $, on\ the) = 0.4$ $\ell = 0$

Key Idea: Smaller $\ell(c) \leftrightsquigarrow c$ is more visually concrete.

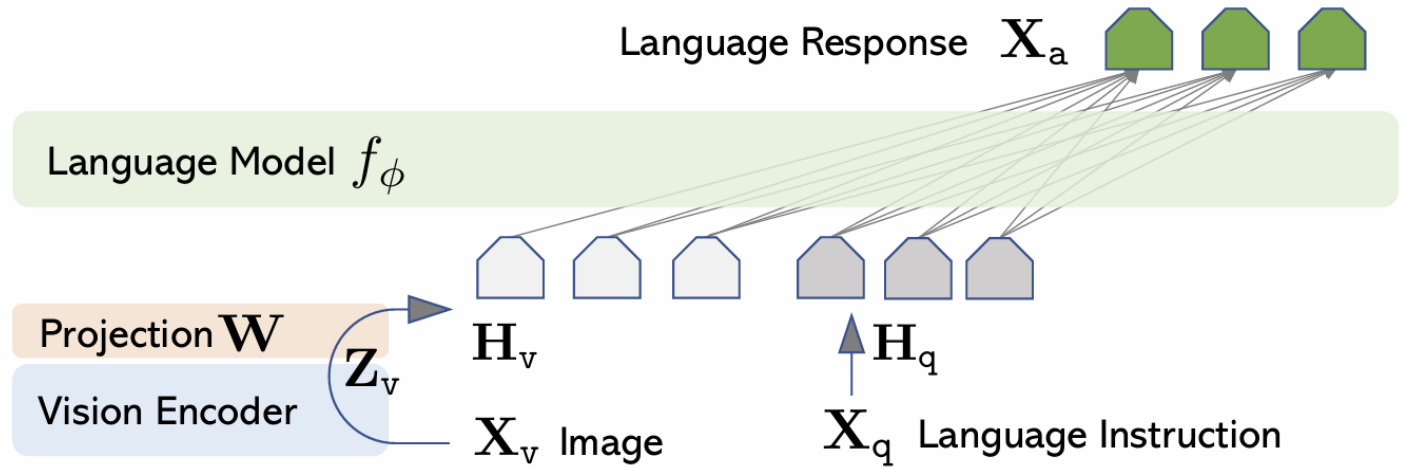
Quantify *visual concreteness* of word spans using loss values.

LLaVA: Visual Instruction Tuning

Use GPT-style language modeling objective.

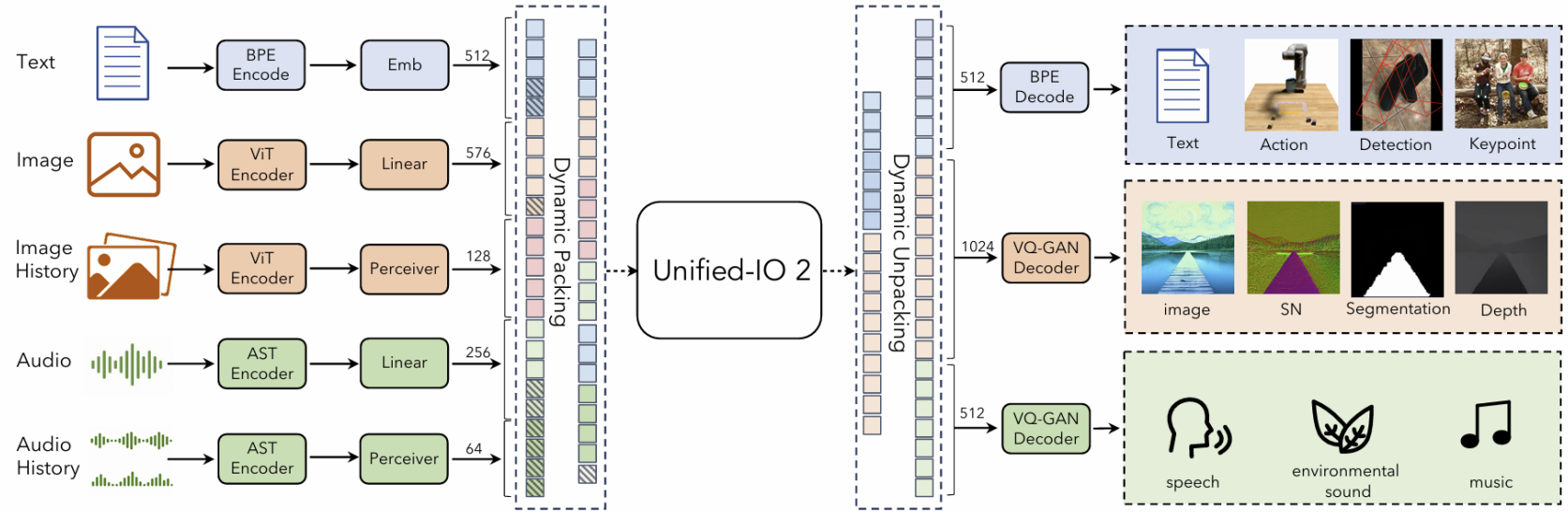
Encode images with different resolutions into "visual tokens."

Project the visual tokens into the textual (joint) space.



[Liu, H. et al. 2023. *Visual instruction tuning*. In *NeurIPS*.]

Towards Encoding Everything in the World



[Lu, J. et al. 2024. Unified-IO 2: Scaling autoregressive multimodal models with vision language audio and action. In *CVPR*]

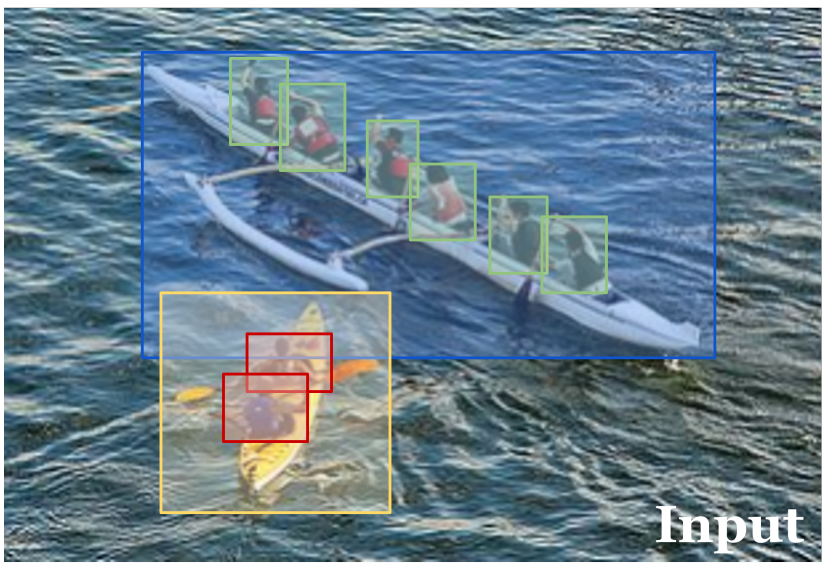
Finer-Grained Vision-Language Tasks

Object retrieval

Note: the object bounding boxes are given in both training and testing.

This is a reasonable assumption, as cognitive scientists have shown that 5-month infants recognize objects well.

a smaller yellow boat



a smaller yellow boat

[Baillargeon, R. et al. 1985. *Object permanence in five-month-old infants*. In *Cognition*.]

Finer-Grained Vision-Language Tasks

Multimodal coreference resolution

a smaller yellow boat

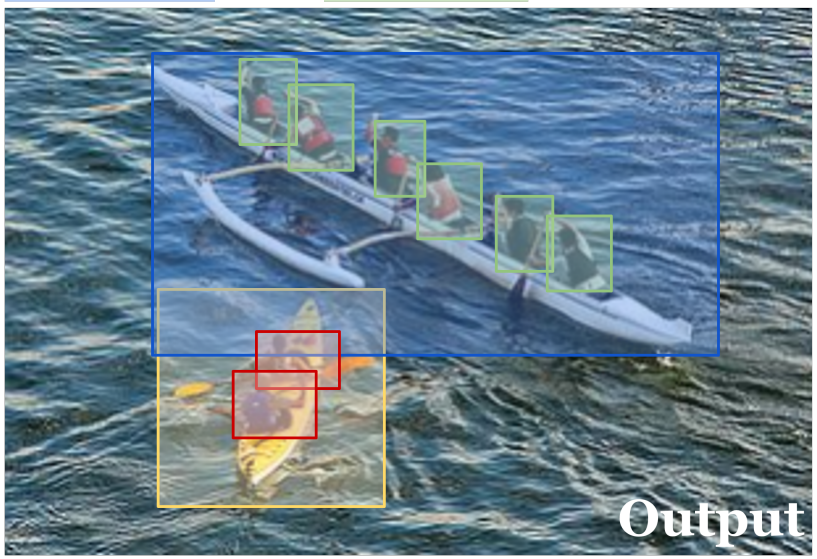
a smaller yellow boat

Finer-Grained Vision-Language Tasks

Phrase grounding

Two boats of people kayaking, a smaller yellow boat with two people and a larger white boat with six people.

Two boats of people kayaking, a smaller yellow boat with two people and a larger white boat with six people.



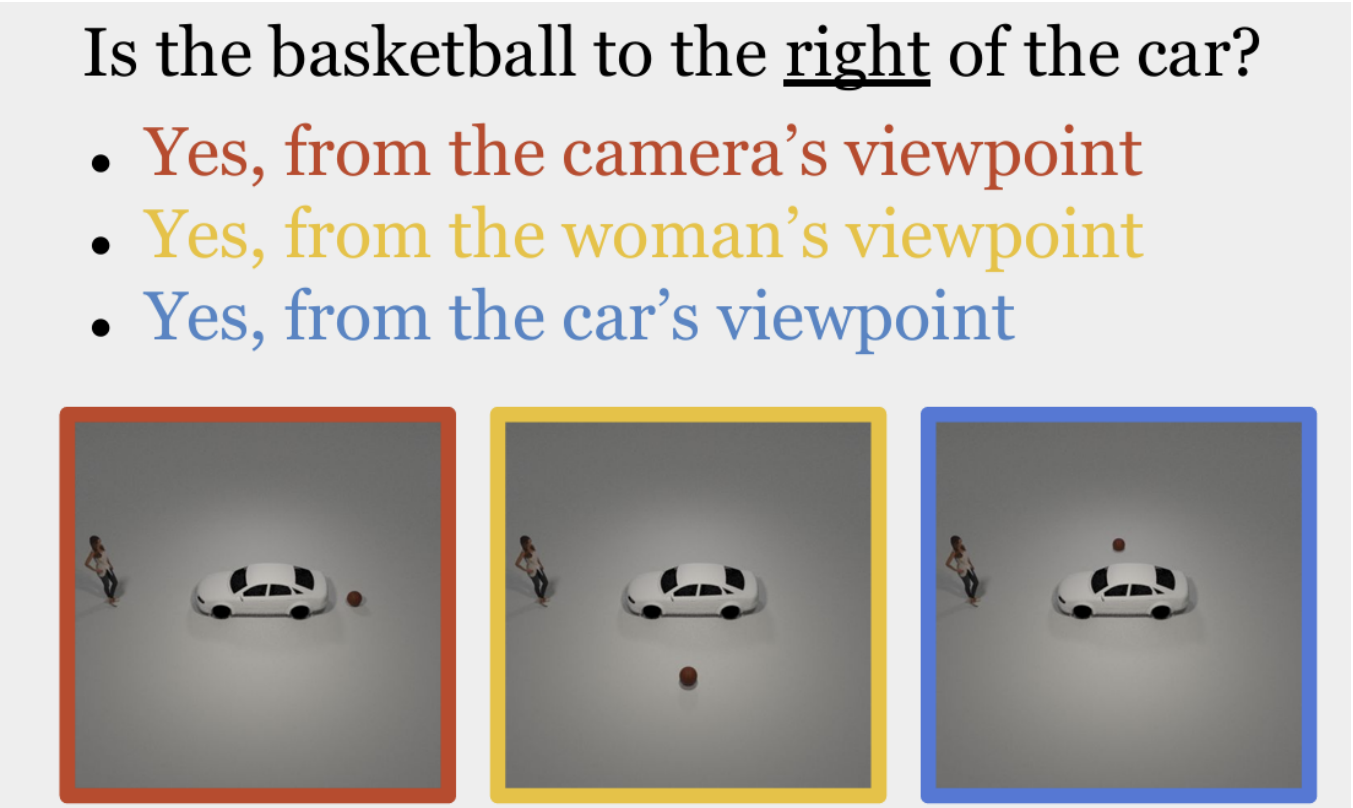
Limitation of Current Vision-Language Models

- Lack of full understanding of the physical world.

[Sarkar, A. et al. 2024. *Shadows don't lie and lines can't bend! Generative models don't know projective geometry...for now*. In *CVPR*.]

Limitation of Current Vision-Language Models

- Poor in recognizing spatial relations, especially poor adapting different spatial frames of reference.



[Zhang Z. et al. 2024. Do vision-language models represent space and how? Evaluating spatial frame of reference under ambiguities.]

Limitation of Current Vision-Language Models

- Highly biased towards cultures with more presence in the training data.

[Bhatia, M. et al. 2024. *From local concepts to universals: Evaluating the multicultural understanding of vision-language models.*]

Next

Pragmatics