# CS 784: Computational Linguistics Lecture 16: Grounded Semantics

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# Meanings in the Real World

My favorite fruit is apple.





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This is not purely [apple].

Meanings are grounded in the world.

# Experience Grounds Language (Bisk et al., 2020)

We posit that the present success of representation learning approaches trained on large, text-only corpora requires the parallel tradition of research on the broader **physical and social context** of language to address the deeper questions of communication.

[Bisk, Y. et al., 2020. Experience Grounds Language. In EMNLP.]

Given the primary data source  $\mathcal X$  and the ground  $\mathcal Y$ , grounding is the process of establishing a meaningful relationship between them.

It is implied that the mutual information  $I(\mathcal{X};\mathcal{Y}) > 0$ .

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#### **Examples**

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- $\mathcal{X}$ : utterance from person A,  $\mathcal{Y}$ : mental state of person B (understanding the communication intention)

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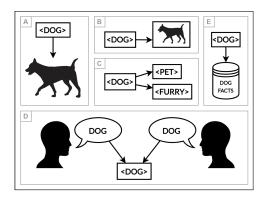
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#### Examples

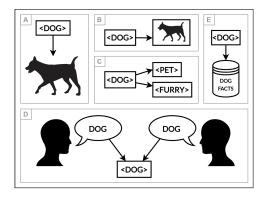
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- ullet  $\mathcal{X}$ : image,  $\mathcal{Y}$ : text (image understanding with textual supervision)

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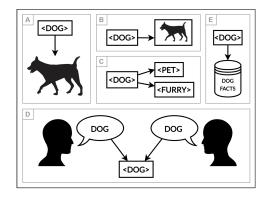
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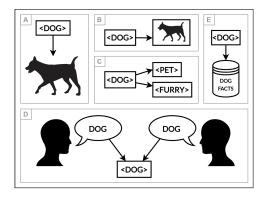
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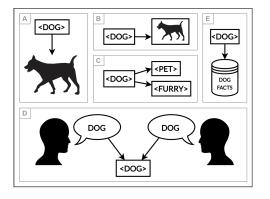
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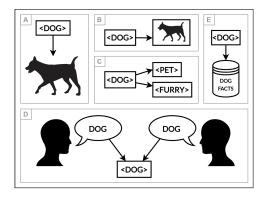
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#### Grounding: This Lecture

Grounding is a broad topic that goes beyond semantics—communicative grounding is the key of pragmatics.

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However, in this lecture, we focus on semantic grounding (or more specifically, sensorimotor grounding): representing meanings of text with data from other modalities (e.g., images).

# Recap: (Ungrounded) Pure-Text Language Models

Two popular types of (ungrounded) pure-text language models:

• Autoregressive models (e.g., GPT):

$$P_{\Theta}(w_i \mid w_1, \ldots, w_{i-1})$$

[Radford, A. et al. 2018. Improving language understanding by generative pretraining.]

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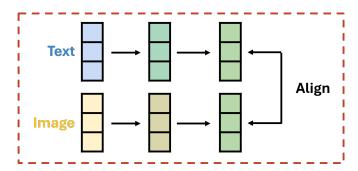
$$P_{\Theta}(w_i \mid w_1, \ldots, w_{i-1}, w_{i+1}, \ldots, w_n)$$

Whether these pure-text language models encode meaning, and to what extent, is still under debate.

[Radford, A. et al. 2018. Improving language understanding by generative pretraining.] [Devlin, J. et al. 2019. BERT: Pre-training of deep bidirectional transformers for language understanding. In NAACL.]

#### Joint Visual-Semantic Embedding Space

Encode visual and textual information into a shared space.



#### Learning Joint Visual Semantic Space

Training data: pairs of images and text descriptions.

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Core idea: encode images and text into a **joint embedding space** by minimizing the hinge-based triplet loss.

$$\begin{split} \Theta^* &= \arg\min_{\Theta} \sum_{(I^+, T^+, \textcolor{red}{\mathbf{I}^-})} \max\left(0, \alpha - \mathrm{sim}(I^+_{\Theta}, \textcolor{blue}{T^+_{\Theta}}) + \mathrm{sim}(I^+_{\Theta}, \textcolor{blue}{T^-_{\Theta}})\right) \\ &+ \sum_{(T^+, I^+, \textcolor{blue}{I^-})} \max\left(0, \alpha - \mathrm{sim}(\textcolor{blue}{T^+_{\Theta}}, \textcolor{blue}{I^+_{\Theta}}) + \mathrm{sim}(\textcolor{blue}{T^+_{\Theta}}, \textcolor{blue}{I^-_{\Theta}})\right) \end{split}$$

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 $T^+$ : There is a cat standing on the lawn.

T: There is an apple on the table.

[Kiros, R. et al. 2014. Unifying visual-semantic embeddings with multimodal neural language models.]

# Properties of the Joint Space

Images and text descriptions are close in the joint space if they are semantically related.

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#### **Example Applications:**

 Bidirectional image-caption retrieval: encode the query (image or text), and the "database" into the joint space and retrieve the closest neighbors.

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#### **Example Applications:**

- Bidirectional image-caption retrieval: encode the query (image or text), and the "database" into the joint space and retrieve the closest neighbors.
- Image captioning: encode the image into the joint space, and train a decoder to generate text conditioned on the image encoding.

Text in the training corpus can be at any level of granularity (e.g., word, phrase, sentence, paragraph).

# Variations of Training Objective: Hard Negative Mining Original:

$$\begin{split} \Theta^* &= \arg\min_{\Theta} \sum_{(I^+,T^+,\textbf{T}^-)} \left[\alpha - \sin(I^+_{\Theta},\,T^+_{\Theta} + \sin(I^+_{\Theta},\,\textbf{T}^-_{\Theta}))\right]_+ \\ &+ \sum_{(T^+,I^+,\textbf{I}^-)} \left[\alpha - \sin(T^+_{\Theta},\,I^+_{\Theta}) + \sin(T^+_{\Theta},\,\textbf{I}^-_{\Theta})\right]_+ \\ & [\cdot]_+ = \max(0,\cdot) \end{split}$$

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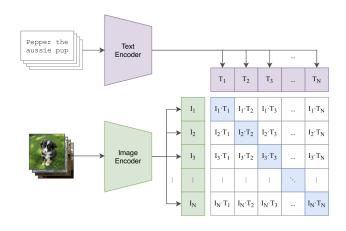
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Modified:

$$[\cdot]_+ = \max(0,\cdot)$$

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[Faghri, F. et al. 2017. VSE++: Improving visual-semantic embeddings with hard negatives. In BMVC.]



[Radford, A. et al. 2021. Learning transferable visual models from natural language supervision.]

$$\begin{split} \Theta^* &= \arg\min_{\Theta} \mathbb{E}_{[(I_1, T_1), \dots (I_n, T_n)]} \\ &\left[ \sum_i - \log P_{\Theta}(T_i \mid I_i; [T_{1 \dots n}]) - \log P_{\Theta}(I_i \mid T_i; [I_{1 \dots n}]) \right] \end{split}$$

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There exists a probabilistic interpretation of the training loss.

- Query: image I<sub>i</sub>.
- Database: text descriptions T<sub>1</sub>,..., T<sub>n</sub>.
- Ground truth: T<sub>i</sub>.

 $P_{\Theta}(T_i \mid I_i; [T_{1...n}])$ : the probability of  $T_i$  being the correct retrieval result in the above settings.

## Variations of Training Objective: Contrastive Learning

The softmax function converts a list of real values (e.g.,  $\mathbf{x} \in \mathbb{R}^n$ ) to a probability distribution.

$$\operatorname{softmax}(\mathbf{x})_i = \frac{\exp(x_i)}{\sum_{j=1}^n \exp(x_j)}$$

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#### Visually Grounded Grammar Induction

Input: Captioned images.

A cat is standing on the lawn.



[Source: Shi et al. 2019. Visually Grounded Neural Syntax Acquisition. In ACL. ]

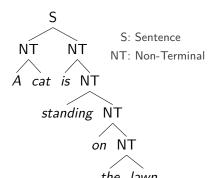
#### Visually Grounded Grammar Induction

Input: Captioned images.

Output: Linguistically plausible structure for captions.

A cat is standing on the lawn.

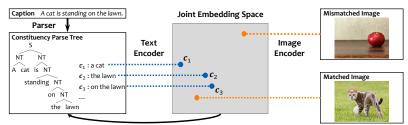




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## Grounded Signals for Syntax Acquisition

Hypothesis: more visually concrete word spans are more likely to be constituents.



Reward for Parser: Estimated Text Span Concreteness

$$\ell(c; i, ') = \sin(i, c) - \sin(i, c)$$

#### Image i



Candidate
Constituent c
a cat
on the

$$\ell(c; i, i') = \sin(i', c) - \sin(i, c)$$

#### Image i



Candidate
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Another Image /



 $\ell(c; i, ') = \sin(i, c) - \sin(i, c)$ 

Value of  $\ell$ 

#### Image i



Candidate Constituent c a cat on the

#### Another Image /



$$\ell(c; i, i') = \sin(i', c) - \sin(i, c)$$

Value of  $\ell$ 

$$a \ cat) = 0.2$$

$$\sin($$

$$sim(-2)$$
, a cat) = 0.2  $sim(-2)$ , a cat) = 0.9  $\ell = -0.7$ 

$$r^2 = -0.7$$



Candidate Constituent c a cat on the

Another Image /

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Value of  $\ell$ 

sim( on the)=0.4 sim( on the)=0.4  $\ell=0$ 



Candidate
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a cat
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Another Image /

 $\ell(c; i, i') = \sin(i', c) - \sin(i, c)$  Value of  $\ell$   $\sin(-i')$ , a cat) = 0.2  $\sin(-i')$ , a cat) = 0.9  $\ell = -0.7$   $\sin(-i')$ , on the  $\ell = 0.4$   $\ell = 0$ 

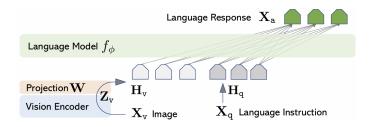
**Key Idea**: Smaller  $\ell(c) \iff c$  is more visually concrete. Quantify *visual concreteness* of word spans using loss values.

#### LLaVA: Visual Instruction Tuning

Use GPT-style language modeling objective.

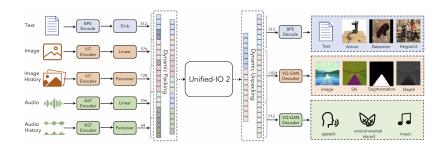
Encode images with different resolutions into "visual tokens."

Project the visual tokens into the textual (joint) space.



[Liu, H. et al. 2023. Visual instruction tuning. In NeurIPS.]

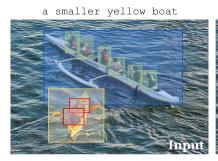
#### Towards Encoding Everything in the World



[Lu, J. et al. 2024. Unified-IO 2: Scaling autoregressive multimodal models with vision language audio and action. In *CVPR*]

#### Object retrieval

Note: the object bounding boxes are given in both training and testing.





[Baillargeon, R. et al. 1985. Object permanence in five-month-old infants. In Cognition.]

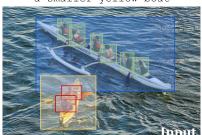
#### Object retrieval

Note: the object bounding boxes are given in both training and testing.

This is a reasonable assumption, as cognitive scientists have shown that 5-month infants recognize objects well.

a smaller yellow boat





[Baillargeon, R. et al. 1985. Object permanence in five-month-old infants. In Cognition.]

#### Multimodal coreference resolution



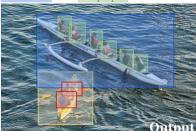


#### Phrase grounding

Two boats of people kayaking, a smaller yellow boat with two people and a larger white boat with six people.



Two boats of people kayaking, a smaller yellow boat with two people and a larger white boat with six people.



# Limitation of Current Vision-Language Models

Lack of full understanding of the physical world.





[Sarkar, A. et al. 2024. Shadows don't lie and lines can't bend! Generative models don't know projective geometry...for now. In CVPR.]

#### Limitation of Current Vision-Language Models

 Poor in recognizing spatial relations, especially poor adapting different spatial frames of reference.

#### Is the basketball to the <u>right</u> of the car?

- Yes, from the camera's viewpoint
- Yes, from the woman's viewpoint
- Yes, from the car's viewpoint



[Zhang Z. et al. 2024. Do vision-language models represent space and how? Evaluating spatial frame of reference under ambiguities.]

## Limitation of Current Vision-Language Models

Highly biased towards cultures with more presence in the training data.



[Bhatia, M. et al. 2024. From local concepts to universals: Evaluating the multicultural understanding of vision-language models.]

Next

**Pragmatics**